

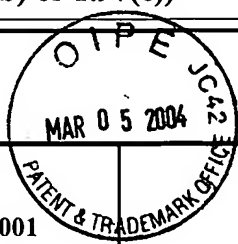
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15155

2681  
#3  
SP  
3-10-04

In Re Application Of:

Jun-ichi YAMATO, et al.



Serial No.  
10/023,083

Filing Date  
December 17, 2001

Examiner  
Unassigned

Group Art Unit  
2681

Title: **INFORMATION REPRODUCING METHOD AND INFORMATION REPRODUCING SYSTEM**

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Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Technology Center 2600

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

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Docket No.

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In Re Application:

Jun-ichi YAMATO, et al.

Serial No.

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**Payment of Fee**

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of \_\_\_\_\_
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I certify that this document and fee is being deposited on Mar. 3, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Paul J. Esatto, Jr.

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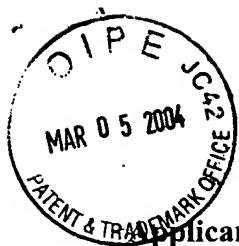
\*This certificate may only be used if paying by deposit account.

Signature

Dated: March 3, 2004

Paul J. Esatto, Jr., Reg. No. 30,749  
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(516) 742-4343  
PJE:ng

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Jun-ichi YAMATO, et al. **Examiner:** Unassigned  
**Serial No.:** 10/023,083 **Group Art Unit:** 2681  
**Filed:** December 17, 2001 **Docket:** 15155  
**For:** INFORMATION REPRODUCING METHOD AND INFORMATION REPRODUCING SYSTEM **Dated:** March 3, 2004  
**Confirmation No.:** 3670

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 23313-1450

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

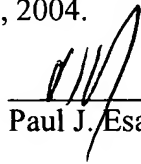
1. Tabuchi, et al., "TV Community System that Enables Users to Build and Maintain a Community Associated with the Time-Line of TV Program", Research Report from the Information Processing Society, Incorporated Body Information Processing Society, January 26, 1999, Vol. 99, Edition 7, pp. 49-54;
2. Tabuchi, et al., "A Proposal of TV Community System that Enables Users to Share Feelings of Cheering a Sports Team through a Live TV Program", Proceedings of the Information Processing Society Symposium (DICOMO'99), Incorporated Body Information Processing Society, June 30, 1999, pp. 441-446;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on March 3, 2004.

Dated: March 3, 2004

  
Paul J. Esatto, Jr.

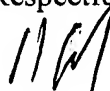
3. Hatayama, et al., "New Direction in TV Community for Digital Broadcasting", NEC Technology, December 24, 1999, Vol. 52, Edition 12, pp. 40-43; and
4. Hatayama, et al., "Concept and Prototype System of HIS(Home Information Server)", Research Reports for the Society for Information Processing, September 18, 1998, Vol. 98, Edition 84, pp. 63-68.

The references were cited in a Japanese Office Action dated January 7, 2004 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited references, together with an English translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.

Registration No. 30,749

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400 Garden City Plaza  
Garden City, New York 11530  
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PJE:ahs:ng

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		<b>Atty. Docket No. (Optional)</b> 15155		<b>Application Number</b> 10/023,083				
<b>INFORMATION DISCLOSURE CITATION</b>  <div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;">             OFFICE              MAR 05 2004              PATENT &amp; TRADEMARK OFFICE           </div> <i>(Use several sheets if necessary)</i>		<b>Applicant(s)</b> Jun-ichi Yamato et al.						
		<b>Filing Date</b> December 17, 2001		<b>Group Art Unit</b> 2681				
		<b>U.S. PATENT DOCUMENTS</b>						
<b>EXAMINER INITIAL*</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE (if appropriate)</b>	
	AA						<div style="font-size: 2em; font-weight: bold;">RECEIVED</div> <div style="font-size: 1.2em; font-weight: bold;">MAR 09 2004</div> <div style="font-size: 1.2em; font-weight: bold;">Technology Center 2600</div>	
	AB							
	AC							
	AD							
<b>FOREIGN PATENT DOCUMENTS</b>								
	<b>REF</b>	<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>	
							YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
		Tabuchi, et al., " <u>TV Community System that Enables Users to Build and Maintain a Community Associated with the Time-Line of TV Program</u> ", Research Report from the Information Processing Society, Incorporated Body Information Processing Society, January 26, 1999, Vol. 99, Edition 7, pp. 49-54						
		Tabuchi, et al., " <u>A Proposal of TV Community System that Enables Users to Share Feelings of Cheering a Sports Team through a Live TV Program</u> ", Proceedings of the Information Processing Society Symposium (DICOMO'99), Incorporated Body Information Processing Society, June 30, 1999, pp. 441-446						
		Hatayama, et al., " <u>New Direction in TV Community for Digital Broadcasting</u> ", NEC Technology, December 24, 1999, Vol. 52, Edition 12, pp. 40-43						
		Hatayama, et al., " <u>Concept and Prototype System of HIS(Home Information Server)</u> ", Research Reports for the Society for Information Processing, September 18, 1998, Vol. 98, Edition 84, pp. 63-68						
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>					
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								